

# Guidance for Flood Risk Analysis and Mapping

## Base Map

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May 2014



This guidance document supports effective and efficient implementation of flood risk analysis and mapping standards codified in the Federal Insurance and Mitigation Administration Policy FP 204-07801.

For more information, please visit the Federal Emergency Management Agency (FEMA) Guidelines and Standards for Flood Risk Analysis and Mapping webpage (<http://www.fema.gov/guidelines-and-standards-flood-risk-analysis-and-mapping>), which explains the policy, related guidance, technical references, and other information about the guidelines and standards process.

Nothing in this guidance document is mandatory other than standards codified separately in the aforementioned Policy. Alternate approaches that comply with FEMA standards that effectively and efficiently support program objectives are also acceptable.

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## Document History

Affected Section or Subsection	Date	Description
First Publication	May 2014	Initial version of new transformed guidance. The content was derived from the <u>Guidelines and Specifications for Flood Hazard Mapping Partners</u> , Procedure Memoranda, and/or Operating Guidance documents. It has been reorganized and is being published separately from the standards.

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## 1.0 Introduction

This guidance supports the standards associated with the collection, development and quality control for base map data used for Flood Risk Projects.

## 2.0 Definition

As it applies to Flood Risk Projects, a base map is defined as the planimetric, or horizontal representation, of map features that show geo-referenced locations, and contain attribute information (i.e., names) about the items. A base map does not include topographic or elevation data.

For a Flood Risk Project, the base map should include:

- Transportation features (e.g., road, railroad, etc.), including the feature names
- Hydrographic features (e.g., streams, lakes, etc.), including the feature names
- Hydraulic structures (e.g., levees, dams, floodwalls, etc.)
- Structures identified as levees should be captured as part of the base map. Those that do not meet the certification requirements in Section 65.10 of the National Flood Insurance Program (NFIP) Regulations should also be captured, but accompanied on the Flood Insurance Rate Map (FIRM) with a note about not providing protection from the 1-percent-annual-chance flood. Please refer to the *FIRM Panel Technical Reference* for more information about FIRM panel notes.
- Jurisdictional boundaries (e.g., state, county, community, etc.), including the feature names
- Native American Tribal boundaries, including the feature names
- Park, forest or federal area boundaries, including feature names, if requested for the base map
- Public Land Survey System (PLSS) boundaries, also known as range, township and section lines, including applicable numbers, if located in a state with PLSS boundaries (

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- Figure 1)

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**Figure 1: States included in the Public Land Survey System (PLSS).**

Certain states only have portions covered by PLSS. Hawaii, which is not shown on the map, is not included in the PLSS. (U.S. Department of the Interior, 2006)



If attribute information (i.e., feature names) are not contained within the base map, sources such as the United States Geological Survey (USGS) Geographic Names Information System (GNIS), U.S. Census Bureau Topologically Integrated Geographic Encoding and Referencing (TIGER) files, current FIRM panels, and/or other data sources should be utilized to obtain the missing information. Locally developed base map data (i.e., information created or obtained by local municipalities and jurisdictions) is generally more accurate than state or national level data sets. If local data can be obtained, it is recommended to use before state or national data.

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Listed below are some additional base map data that can be collected if available. These data sets can be used with other aspects of Flood Risk Projects. These data can be less accurate than the data that serve as the starting point for the base map.

- Bridges
- Unimproved roads or trails (i.e., those travel ways not intended for motorized vehicles or not usually used by motorized vehicles due to width or seasonal conditions)
- Building footprints
- Parcel outlines or parcel centroids
- Address points

### 3.0 Characteristics

Most Flood Risk Projects require a base map to help users understand the location of flood hazard and other risks in relation to geographic features encountered in their communities.

The characteristics of base map data can vary among projects as long as the data fulfill the minimum role of a base map. At a minimum, the base map data should:

- Show sufficient ground features (e.g., roads, railroads and hydrography), to enable clear interpretation of the data displayed on the FIRM or other Flood Risk Products. Data created, updated, or checked within the last 7 years is preferred. A base map older than 7 years can be used if the area has not changed substantially since it was created, it provides reasonable reference information, and does not confuse map users.
- Have a horizontal radial accuracy (accuracy) better than or equal to 38 feet (11.58 meters) as measured using the National Standard for Spatial Data Accuracy (Federal Geographic Data Committee (FGDC), 1998a). Under the old National Map Accuracy Standard, this measure equates to maps of scales larger than or equal to 1:12,000.
- Have a horizontal datum of North American Datum of 1983 (NAD83), National Spatial Reference System 2007 (NSRS 2007).
- Be provided with permission from the source to allow FEMA to freely distribute the data and associated flood hazard information in hardcopy and digital formats with no restrictions. Distribution methods include, but are not limited to, incorporating an image of base map data on printed flood maps, distributing digital base map data on media, distributing digital base map data online, and displaying digital base map data on the Web. FEMA also participates in interagency exchanges of base map data.
- Cover the entire project area and provide seamless coverage for all jurisdictions shown on the FIRM or other Flood Risk Products. The data should not have disconnects or missing features at boundary locations. For some projects conducted at the watershed level, it can be acceptable to develop tiled data coverage if the specific study areas are non-congruent. Cases such as this should be coordinated with the FEMA Project Officer before proceeding.
- Be provided with FGDC compliant metadata.

When working with communities or state agencies to obtain base map data, it is encouraged that all information be provided within 30 days of FEMA's request. If the request cannot be met within the time frame, alternative data sources should be evaluated.

Once a base map has been accepted, the locations of features in the data should be used "as is." Base map features from one source may be clipped where they meet those from another source. Graphical mismatches between communities, where roads or other features cross community boundaries, should be resolved.



## 4.0 Vector and Raster Options

Two of the most important features in the base map are the transportation and hydrographic features. These guide users to known locations and are often the most referenced features when it comes to discussing hazard locations. Two types of geospatial data can be utilized to represent these features: vector data or raster data.

Vector data use lines to depict geographic features. To use vector base map data for a Flood Risk Project, it should depict transportation features (e.g., roads, railroads, major airports, etc.), hydrographic features (e.g., streams, rivers, lakes, etc.), and boundaries (e.g., state limits, county limits, corporate limits, military lands, tribal lands, etc.). If the location of the Flood Risk Project is in a state (Figure 1) that uses PLSS, those features should also be depicted as part of the base map.

For vector base maps, the Master Address File (MAF) / TIGER street centerline data should be the default source. At the discretion of the FEMA Project Officer, data provided by the community may be substituted for MAF/TIGER data.

Raster data use a picture to depict geographic features. The typical raster base map is a digital orthoimage. Orthoimages depict the location of features using aerial photographs, which are orthorectified so that positions on the image are accurately georeferenced to true ground locations taking into consideration the terrain. In order to use a raster base map for a Flood Risk Project, the orthoimagery must be georeferenced and orthorectified.

A raster base map can be used to display the location of transportation and hydrographic features; however, jurisdictional boundaries and other non-natural features may not be visible. If raster data is selected as the base map, additional vector data should also be gathered to display reference information on the FIRM panels or products. Examples of additional vector data include political and PLSS boundaries.

Vector base map data does not need to be collected for locations determined to be areas not included (ANI). For raster base map, the raster orthoimagery can be included for ANI areas.

Either vector or raster base map can be selected for a Flood Risk Project. To determine which, the preference of the community, the accuracy of the data, the availability of the data, and the degree of difficulty in using the proposed base map data should be taken into account. These criteria should be documented and supplied as part of the project correspondence. For additional guidance on base map submittal requirements, please refer to the base map section of the [Data Capture Standards Technical Reference](#).

## 5.0 Orthoimagery

If raster is selected as the base map choice, there are certain criteria that should be met. Whenever possible, orthoimagery should be used as they are received, with little or no modification to the actual image. At a minimum, the raster base map should:

- Have a minimum resolution of 1-meter ground sample distance.

- Provide a clear view of features on the ground near areas of significant flood hazards. Leaf-off, cloud-free imagery is preferred, although the canopy of deciduous vegetation and a small amount of cloud cover is tolerated if features near significant flood hazards are not obscured. Different spectral bands (such as near infrared) can be used if the resulting image provides a clear view of ground features.

At the request of state or local officials, portions of raster base maps can be blurred to restrict the visibility of sensitive areas or sites that are potential security concerns. These requests should be documented in the project correspondence and coordinated with the FEMA Project Officer. The blurred area should be limited as close as possible to the sensitive location to minimize the impacts to other parts of the FIRM panel.

Color orthoimagery is widely available and should be considered the first option if it meets the above criteria and provides the clearest option. If color imagery is not available, it is acceptable to use gray-scale imagery. If selected, it is recommended that orthoimagery have a minimum image radiometry of 256 gray levels.

Variations in tones between orthoimages are acceptable. If more than one image is included on a FIRM panel, lightening or darkening of individual images to balance tones is not required. However, adjustments that improve readability are acceptable provided they do not require significant effort.

Raster base maps may be updated to show new roads or other features that have changed since the data were produced by placing vector data on top of the orthoimages. Additionally, vector data that depict flooding sources may be shown on top of the orthoimagery to help clarify the hazard origins provided they do not conflict with profile baseline requirements in the *FIRM Panel Technical Reference*.

If the raster option is selected, these data can be contained in a single file or in tiled files. Tiling is not suggested for vector data because file sizes are small enough to be manageable.

Local communities often obtain orthoimagery specifically for their jurisdiction. This data can serve as the raster base map as long as the orthoimagery meets FEMA standards and is freely available. If suitable base map are not available from local communities, one-meter National Agricultural Imagery Program (NAIP) orthoimages or one-meter resolution Digital Orthophoto Quarter Quadrangles (DOQQs) from the USGS can be used. These orthoimages generally meet the minimum criteria for a base map. For additional guidance on obtaining raster base map data, please refer to the *National and State Data Coordination Procedures* available on FEMA's Mapping Information Platform (MIP). This lists sources of national data suitable for Flood Risk Projects. FEMA also maintains *State Geospatial Data Coordination Procedures* that list best practices for obtaining and using State and community data.

## 6.0 FIRM Panel Layout

The development of a FIRM panel layer often occurs as part of the base map preparation. The National Flood Hazard Layer (NFHL) should first be checked to determine if a FIRM panel

layout already exists. If one does not exist, or the existing layout requires modification, users should refer to the [FIRM Panel Layout Guidance](#) document. If a study area was not modernized and no reference FIRM panel layout is available, the USGS Quadrangle layout can be used for initial guidance.

## 7.0 Formatting the Base Map

Once collected, the base map data should be formatted and compiled into a countywide FIRM database. For more information about the FIRM database requirements, please refer to the [FIRM Database Technical Reference](#).

## 8.0 Metadata

Metadata, or “data about data,” provide information about the content, quality, condition, and other characteristics of data. For Flood Risk Projects, the content of base map metadata should follow the guidance in the [Metadata Profiles Technical Reference](#). A specific base map metadata profile is available. FEMA created the profile based on the Federal Geographic Data Committee’s Content Standard for Digital Geospatial Metadata (1998b and 2006).

The best time to collect metadata is when the data are being developed or obtained. It is recommended that metadata be collected when the base map is supplied for the project, working directly with the data owner to recover information that may not have been recorded. It is also recommended that vendors provide compliant metadata for any newly collected data.

Most Flood Risk Projects include the requirement of a digital base map that meet the standards established in FEMA’s [Policy for Flood Risk Analysis and Mapping](#), and closely follow this guidance document. Any exceptions or variances from those standards or guidance should be documented in the metadata.

There should be no restrictions on FEMA’s use or redistribution of metadata. Distribution methods include, but are not limited to, incorporating metadata in FIRM collar information, annotations on printed flood maps, distributing metadata with digital flood data online, digital media, and providing metadata on the Web. FEMA also participates in interagency exchanges of metadata, and participants can expect the metadata to appear on publicly available data portals and. The metadata also may appear in other applications that acquire data from such portals and clearinghouses, even if FEMA does not participate in these other applications directly.

## 9.0 Procuring New Data

For Flood Risk Projects, FEMA will not provide funding for new base map data collection. Regarding leverage credits to communities, if adequate base map data exist but a community supplies better data, FEMA will provide leverage credit to the community.

## 10.0 Quality Control

Any base map used as part of a Flood Risk Project should be subject to Quality Assurance and Quality Control (QA/QC) to provide reasonable assurance that the data meet the specifications reported and are suitable for the project. QA/QC should be applied before determining if the data can be used. When data is obtained, it is recommended the following QC checks be applied:

- Check if the base map data contains complete attributes, such as full road or stream names.
- Check if the base map data contains any gaps or disconnection with the project area.
- Check if the base map data reasonably edge match to adjoining jurisdictions.
- Check if the base map data are accompanied by metadata.
- Check if the orthoimagery is clear and not blurred (unless intentionally for security concerns).
- Check if all required features have been collected.
- Check if the data are geo-referenced to a known projection and datum which are documented.
- Check if data and metadata redistribution is allowed.

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Documentation of any QA/QC should accompany the base map submittal. For additional guidance about quality documentation, please refer to the [Quality Management Guidance](#) document.